

## Notice to Certifying Officer

### Purpose of the Program

The purpose of this program is to broaden Ball Corporation's ("Ball") contributions to higher education and to stimulate individual gifts from employees and others. Under this program, Ball will match personal gifts of eligible contributors to institutions that meet the requirements of eligibility set forth below.

### Eligible Contributors

Regular salaried and hourly paid employees, retirees, directors, and retired directors of Ball are eligible to participate in this program of matching gifts to U.S. and Canadian higher education institutions. Those eligible need not have attended the school to which his or her contribution is made, nor is it necessary to have attended any institution of higher learning.

### Eligible Institutions

1. Private or public colleges, universities, graduate and professional schools in the U.S. or Canada listed as not-for-profit institutions in the Higher Education Directory, published by Higher Education Publications, Inc., Washington, D.C., or in the Directory of Canadian Universities, Association of Universities and Colleges of Canada, Ottawa.
2. An alumni or other fund, foundation or association recognized as tax-exempt by the U.S. Treasury Department or Revenue Canada, when it is certified by an institution qualified under paragraph 1 of this section to be either an integral part of that institution or an organization that will transmit all contributions directly to the institution or will use those contributions solely for the benefit of that institution.

### Qualifying Gifts

Ball will match each eligible contributor's gift of \$25 or more, up to an aggregate of \$5,000 in a calendar year.

The contribution must come from the personal funds of the contributor and be in cash, credit card or securities. Ball will determine the value of the securities.

Ball will not match bequests or gifts of articles, regardless of value, dues or payments to local alumni funds not made as direct contributions to eligible institutions. Ball's match is not to be used for tuition payments or any costs related to a named student of the institution.

If a gift is made in installments, each installment will be considered as an individual gift.

Contributions may be made to more than one eligible institution.

Should two or more gifts to eligible educational institutions be made simultaneously by an eligible participant and bring that contributor's total contribution in the same calendar year to more than \$5,000, Ball will match those contributions, dollar for dollar, up to \$5,000.

For the purpose of this program, a contribution made by an eligible contributor in the name of his or her spouse will be treated as though made in the contributor's name.

### Amendment, Suspension or Discontinuance

Ball may, at any time, amend, suspend or discontinue this matching gifts program for higher education. However, no amendment, suspension or discontinuance shall affect the obligation of Ball to match gifts made prior to the date of such amendment, suspension or discontinuance.

Ball reserves the right to decide all questions of interpretation, which may arise in connection with the administration of this program.

### Contribution Instructions

Simply fill out the donor's portion of this form and mail the entire folder with your contribution to the educational institution of your choice. When your gift is received, an official of the educational institution will complete the remaining section of your form and return it to Ball.



Ball Corporation  
10 Longs Peak Drive, Broomfield, CO 80021-2510  
Reply to: P.O. Box 5000, Broomfield, CO 80038-5000

Dear Certifying Officer:

If this matching gift request meets eligibility requirements, your institution will receive a contribution from Ball Corporation. In order to receive future matching contributions, an authorized representative of your institution must provide substantiation documentation in line with Internal Revenue Service or Revenue Canada reporting requirements.

Thank you.

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## Donor Application

### Donor:

Complete all sections of this panel and **mail the entire folder**, with your check or securities, to the college or university of your choice. Please print clearly.

Full name

☐ Employee      ☐ Director or Retired Director  
☐ Retiree

Ball work location

Work telephone number

Home address

City State ZIP

Employee Number

Institution of your choice

Address

City State ZIP

\$  
Gift of \_\_\_\_\_ shares of

(Description of securities) (Having a market value of)

Date of gift

Name(s) in which contribution is given

Signature

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## Recipient Certification

### Recipient Institution:

1. All sections must be completed by recipient institution and sent by the institution to:

Corporate Relations  
Ball Corporation  
P.O. Box 5000  
Broomfield, CO 80038-5000

2. As an authorized officer, I certify that the institution or associated organization named meets the qualifications outlined in this folder and did not provide any goods or services, such as tuition, in exchange for this gift. I received the described gift:

Date Name of institution

Street Address

City State ZIP

Name and Title of Certifier

Signature

Does your institution accept VISA? ☐ Yes ☐ No

Fax number: \_\_\_\_\_

Phone number: \_\_\_\_\_

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**THIS SECTION TO BE COMPLETED BY BALL  
FOR VISA PAYMENTS FOR BALL'S MATCH**

Exp. \_\_\_\_ / \_\_\_\_

\$ \_\_\_\_\_ Amount Authorized Signature for Ball

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# Matching Gifts Program for Higher Education

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**Ball Corporation**

French language version available upon request.